



United States Department of State

Washington, D.C. 20520

April 2, 2019

Case No.: F-2017-17100

Eric Lipton
The New York Times
[REDACTED]
[REDACTED]
Washington, DC 20006

Dear Mr. Lipton:

In response to your request dated November 15, 2017, under the Freedom of Information Act (the "FOIA"), 5 U.S.C. § 552, the Department of State has retrieved five responsive records responsive to your request. After reviewing these records, we have determined that all five may be released in part.

An enclosure explains the FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable exemptions are marked on each document. All non-exempt material that is reasonably segregable from the exempt material has been released. In some instances, two or more exemptions may apply to the same document. All released material is enclosed. The processing of your case is ongoing.

If you have any questions, your attorney may contact Assistant United States Attorney Joseph Cordaro at joseph.cordaro@usdoj.gov. Please refer to the request case number, F-2017-17100, and the civil action number, 19-cv-00645, in all correspondence about this request.

Sincerely,

Susan C. Weetman
Chief, Programs and Policies Division
Office of Information Programs and Services

Enclosures: As stated.

From: Browne, Noah </o=SBUState/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>
Sent: Thursday, October 19, 2017 4:27 PM
To: Harris, Robert K <HarrisRK2@state.gov>
Cc: Briggs, Jamie M <Briggsjm2@state.gov>; Donnelly, Elizabeth A <DonnellyEA@state.gov>
Subject: RE: Secretary Chao - Ethics Question

RELEASE IN PART
B5

No problem. And great work by the folks on the ground in China in spotting this potential issue.

Official
UNCLASSIFIED

From: Harris, Robert K
Sent: Thursday, October 19, 2017 4:05 PM
To: Browne, Noah
Cc: Briggs, Jamie M; Donnelly, Elizabeth A
Subject: RE: Secretary Chao - Ethics Question

Noah,

Hi. Thanks so much for your help on this.

Bob

From: Browne, Noah
Sent: Thursday, October 19, 2017 3:05 PM
To: Voyles, Halima K; Briggs, Jamie M; Felsing, Evan T (Beijing); Donnelly, Elizabeth A; Harris, Robert K; Mann, Judy H
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Hi all,

Thanks for flagging this issue and looping us in.

B5

Please let me know how DOT responds and if we can further assist.

Best
Noah

Noah L. Browne
Attorney-Adviser
Ethics and Financial Disclosure (L/EFD)
Office of the Legal Adviser
United States Department of State
(202)-663-3097

Official - SBU
UNCLASSIFIED

From: Voyles, Halima K
Sent: Thursday, October 19, 2017 1:44 PM
To: Briggs, Jamie M; Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Jaime -

Tom/Evan – please advise if you've heard otherwise.

B5

Official - SBU
UNCLASSIFIED

From: Briggs, Jamie M
Sent: Thursday, October 19, 2017 1:39 PM
To: Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Hi, Evan. Thank you very much for bringing this to our attention. We're looping in a couple of colleagues from our ethics office, Judy Mann and Noah Browne.

B5

Best,
Jamie

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)

Sent: Thursday, October 19, 2017 3:30 AM

To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K

Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)

Subject: Secretary Chao - Ethics Question

Dear L colleagues,

Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and [redacted]

B5

[redacted]

B5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏

Acting Minister Counselor for Economic Affairs | 经济参赞

U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处

E-mail | 电邮 : FelsingET@state.gov

Tel | 电话 : (86-10) 8531-3163

From: Huitema, David P <HuitemaDP@state.gov>
Sent: Thursday, October 19, 2017 2:31 PM
To: Browne, Noah <BrowneN@state.gov>
Cc: Mann, Judy H <MannJH@state.gov>
Subject: RE: Secretary Chao - Ethics Question

RELEASE IN PART
B5

Thanks. Janice was copied on the original message and she forwarded this to me. Do you have a moment to discuss?

Official - SBU
UNCLASSIFIED

From: Browne, Noah
Sent: Thursday, October 19, 2017 1:47 PM
To: Huitema, David P
Cc: Mann, Judy H
Subject: FW: Secretary Chao - Ethics Question

David – can we chat about this?

B5

Official - SBU
UNCLASSIFIED

From: Voyles, Halima K
Sent: Thursday, October 19, 2017 1:44 PM
To: Briggs, Jamie M; Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Jaime –

Tom/Evan – please advise if you've heard otherwise.

B5

Official - SBU
UNCLASSIFIED

From: Briggs, Jamie M
Sent: Thursday, October 19, 2017 1:39 PM
To: Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)

Subject: RE: Secretary Chao - Ethics Question

Hi, Evan. Thank you very much for bringing this to our attention. We're looping in a couple of colleagues from our ethics office, Judy Mann and Noah Browne, [redacted]

B5

Best,
Jamie

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)

Sent: Thursday, October 19, 2017 3:30 AM

To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K

Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)

Subject: Secretary Chao - Ethics Question

Dear L colleagues,

Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and [redacted]

B5

[redacted]
B5

[Redacted] B5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏

Acting Minister Counselor for Economic Affairs | 经济参赞

U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处

E-mail | 电邮 : FelsingET@state.gov

Tel | 电话 : (86-10) 8531-3163

SBU

This email is UNCLASSIFIED.

From: Briggs, Jamie M <Briggsjm2@state.gov>
Sent: Thursday, October 19, 2017 12:23 PM
To: Browne, Noah <BrowneN@state.gov>
Subject: RE: Secretary Chao - Ethics Question

RELEASE IN PART
B5,B6

Great. Thanks, Noah.

Official - Transitory
UNCLASSIFIED

From: Browne, Noah
Sent: Thursday, October 19, 2017 12:07 PM
To: Briggs, Jamie M
Subject: RE: Secretary Chao - Ethics Question

You can loop in Judy Mann and me.

Official - Transitory
UNCLASSIFIED

From: Briggs, Jamie M
Sent: Thursday, October 19, 2017 12:04 PM
To: Browne, Noah
Subject: RE: Secretary Chao - Ethics Question

Thanks, Noah. I'd like to loop in someone in your office on our reply. Who should we loop in?

Official - Transitory
UNCLASSIFIED

From: Browne, Noah
Sent: Thursday, October 19, 2017 11:05 AM
To: Briggs, Jamie M
Subject: FW: Secretary Chao - Ethics Question

Just a heads-up that Baron forwarded me this email.

B5

Happy to chat further...

Official - SBU
UNCLASSIFIED

From: Lobstein, Baron P (Beijing)
Sent: Thursday, October 19, 2017 5:32 AM
To: Browne, Noah
Cc: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Noah,

Hello again from Beijing. Our section chief just sent the below message to some of your colleagues. I understand this issue may not fall directly under your purview in financial disclosures, but we wanted to make sure we were covering all of our bases.

All the best,
Baron

Baron Lobstein
Trade, Technology & Transportation Unit Chief
Economic Section, U.S. Embassy Beijing
Tel: +86-10-8531-4398 / BB: [redacted]
LobsteinBP@state.gov

B6

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)
Sent: Thursday, October 19, 2017 3:30 PM
To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: Secretary Chao - Ethics Question

Dear L colleagues,

Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and [redacted]

B5

B5

From: Mann, Judy H <MannJH@state.gov>
Sent: Thursday, October 19, 2017 12:00 PM
To: Browne, Noah <BrowneN@state.gov>
Subject: RE: Secretary Chao - Ethics Question

RELEASE IN
PART B5,B6

B5

If I can or should follow up, I'd be happy to, just let me know.

Thanks,

Judy H. Mann
Attorney-Adviser
L/Ethics and Financial Disclosure
Office of the Legal Adviser
U.S. Department of State
202-663-3098

From: Browne, Noah
Sent: Thursday, October 19, 2017 8:49 AM
To: Mann, Judy H
Subject: FW: Secretary Chao - Ethics Question

Judy – I'm sure that we'll be looped in on this by L/EAP, but I wanted to flag now.

B5

Official - SBU
UNCLASSIFIED

From: Lobstein, Baron P (Beijing)
Sent: Thursday, October 19, 2017 5:32 AM
To: Browne, Noah
Cc: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao - Ethics Question

Noah,

Hello again from Beijing. Our section chief just sent the below message to some of your colleagues. I understand this issue may not fall directly under your purview in financial disclosures, but we wanted to make sure we were covering all of our bases.

All the best,
Baron

Baron Lobstein
Trade, Technology & Transportation Unit Chief

Evan Felsing | 冯以宏
Acting Minister Counselor for Economic Affairs | 经济参赞
U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
E-mail | 电邮 : FelsingET@state.gov
Tel | 电话 : (86-10) 8531-3163

SBU
This email is UNCLASSIFIED.

From: Martin, Janice N <MARTINJN@state.gov>
Sent: Thursday, October 19, 2017 8:20 AM
To: Huitema, David P <HuitemaDP@state.gov>
Subject: FW: Secretary Chao - Ethics Question

RELEASE IN PART B5

As requested.

Janice Martin
Ethics Specialist
Financial Disclosure Division (L/EFD)
Office of the Legal Adviser
Department of State
2401 E Street, N.W.
SA-1, Room H-228
Washington, D.C. 20522
Phone: (202) 663-3099
Fax: (202) 663-3339
Email: martinjn@state.gov

Official
UNCLASSIFIED

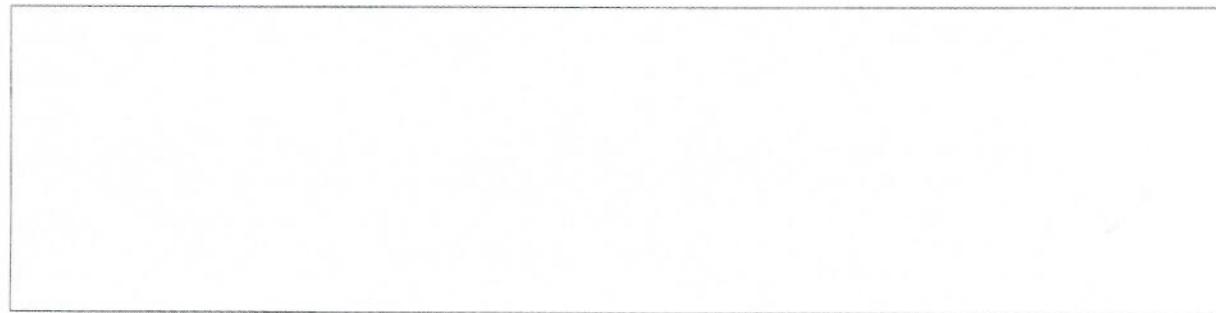
From: Felsing, Evan T (Beijing)
Sent: Thursday, October 19, 2017 3:30 AM
To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K
Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)
Subject: Secretary Chao - Ethics Question

Dear L colleagues,

Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and we

B5

B5



B5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏
Acting Minister Counselor for Economic Affairs | 经济参赞
U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
E-mail | 电邮 : FelsingET@state.gov
Tel | 电话 : (86-10) 8531-3163

SBU

This email is UNCLASSIFIED.



United States Department of State

Washington, D.C. 20520

May 1, 2019

Case No: F-2017-17100

Segment: LIT-0001 & L/EFD-0001

Eric Lipton
The New York Times
[REDACTED]
Washington, DC 20006

Dear Mr. Lipton:

I refer to our letter dated April 2, 2019, regarding the release of certain Department of State material under of the Freedom of Information Act (the “FOIA”), 5 U.S.C. § 552. The processing of records has yielded three additional documents responsive to your request. After reviewing these documents, we have determined that all three may be released in part.

An enclosure explains the FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable exemptions are marked on each document. All non-exempt material that is reasonably segregated from the exempt material has been released, and is enclosed.

This completes the processing of your request. If you have any questions, your attorney may contact Joseph Coradaro at [REDACTED] ov [REDACTED]. Please refer to the case number, F-2017-17100, and the civil action number, 19-cv-00645, in all correspondence regarding this case.

Sincerely,

Susan C. Weetman
Chief, Programs and Policies Division
Office of Information Programs and Services

Enclosures: As stated

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

(b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:

- 1.4(a) Military plans, systems, or operations
- 1.4(b) Foreign government information
- 1.4(c) Intelligence activities, sources or methods, or cryptology
- 1.4(d) Foreign relations or foreign activities of the US; including confidential sources
- 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
- 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
- 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
- 1.4(h) Weapons of mass destruction

(b)(2) Related solely to the internal personnel rules and practices of an agency

(b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:

ARMSEXP	Arms Export Control Act, 50a USC 2411(c)
CIA PERS/ORG	Central Intelligence Agency Act of 1949, 50 USC 403(g)
EXPORT CONTROL	Export Administration Act of 1979, 50 USC App. Sec. 2411(c)
FS ACT	Foreign Service Act of 1980, 22 USC 4004
INA	Immigration and Nationality Act, 8 USC 1202(f), Sec. 222(f)
IRAN	Iran Claims Settlement Act, Public Law 99-99, Sec. 505

(b)(4) Trade secrets and confidential commercial or financial information

(b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product

(b)(6) Personal privacy information

(b)(7) Law enforcement information whose disclosure would:

- (A) interfere with enforcement proceedings
- (B) deprive a person of a fair trial
- (C) constitute an unwarranted invasion of personal privacy
- (D) disclose confidential sources
- (E) disclose investigation techniques
- (F) endanger life or physical safety of an individual

(b)(8) Prepared by or for a government agency regulating or supervising financial institutions

(b)(9) Geological and geophysical information and data, including maps, concerning wells

Other Grounds for Withholding

NR Material not responsive to a FOIA request excised with the agreement of the requester

From: Browne, Noah </o=SBUState/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>
Sent: Monday, October 23, 2017 8:46 AM
To: Huitema, David P <HuitemaDP@state.gov>
Subject: FW: Secretary Chao's Visit to China

RELEASE IN PART
B5,B6

David, [redacted]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah, [redacted]

B5

Evan

Sent from my BlackBerry 10 smartphone.

Original Message

From: Kan, Derek (OST) <derek.kan@dot.gov>
Sent: Saturday, October 21, 2017 11:31
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [redacted]

B5

As you may know, [redacted]

-----Original Message-----

From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]
Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <joseph.traini@dot.gov>; Abraham, Julie (OST) <julie.abraham@dot.gov>; Taylor-Hoes, Ronale <ronale.taylor-hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete, [redacted]

B5

[redacted] We can then proceed with planning for this schedule. Our ethics office may follow up with their DOT colleagues if they have any questions. Thanks again.

Evan

Sent from my BlackBerry 10 smartphone.

From: Kan, Derek (OST)

Sent: Saturday, October 21, 2017 04:46

To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale

Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah

Subject: RE: Secretary Chao's Visit to China

Evan,

Thanks for the note and thanks to Mission China for all of their hard work. [REDACTED]

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[REDACTED] and we are happy to help you to make this happen.

Regarding the participants for high-level meetings, [REDACTED]

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[REDACTED] In terms of the topics, [REDACTED]

B5

[REDACTED] Thanks for the heads up.

Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.

Derek

B5

B5

B5

From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]

Sent: Friday, October 20, 2017 1:56 AM

To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>

Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>

Subject: Secretary Chao's Visit to China

DOT Colleagues:

Mission China looks forward to Secretary Chao's upcoming visit to [REDACTED] from October 29- November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

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In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all

participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing |
Minister Counselor for Economic Affairs |
U.S. Embassy Beijing, Economic Section |
E-mail | 电邮 : FelsingET@state.gov<<mailto:FelsingET@state.gov>>

SBU

This email is UNCLASSIFIED.

Official
UNCLASSIFIED

From: Browne, Noah </o=SBUState/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>
Sent: Monday, October 23, 2017 6:06 PM
To: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Felsing, Evan T (Beijing) <FelsingET@state.gov>
Cc: Huitema, David P <HuitemaDP@state.gov>
Subject: RE: Secretary Chao's Visit to China

**RELEASE IN PART
B5,B6**

Thanks. And I meant Evan, not Ethan!

**Official
UNCLASSIFIED**

From: Leiby, Thomas J (Beijing)
Sent: Monday, October 23, 2017 6:05 PM
To: Browne, Noah; Felsing, Evan T (Beijing)
Cc: Huitema, David P; Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah:

Thank you for your email. I've been trying to get a clear response from DOT all evening to no avail. I'll be in touch as soon as I get more information, but we can share with you a proposed agenda that we had been working from.

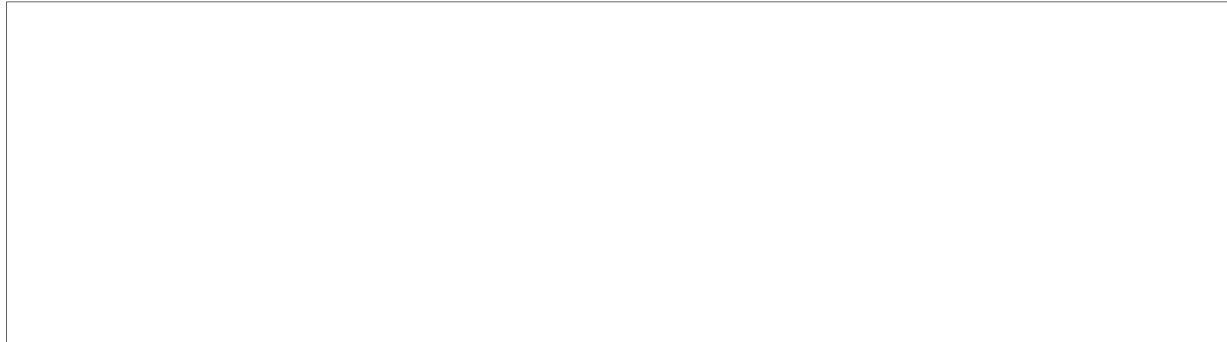
Tom

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Tuesday, October 24, 2017 4:55 AM
To: Felsing, Evan T (Beijing)
Cc: Leiby, Thomas J (Beijing); Huitema, David P
Subject: RE: Secretary Chao's Visit to China

Hi Ethan,

We just heard that Secretary Chao's trip may be back on after all. Is that true? In any event, we did have a conversation with the Designated Agency Ethics Official (DAEO) at the DOT, and this is what we know:



B5

•

Would it be possible to get a full breakdown of the proposed agenda and the participants in each event/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the same set of facts.

Best
Noah

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)
Sent: Monday, October 23, 2017 9:00 AM
To: Browne, Noah
Cc: Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah,

I understand that Secretary Chao has now cancelled her trip.

Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Monday, October 23, 2017 20:47
To: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao's Visit to China

I hear you. [redacted]

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah,

[redacted]
Evan

Sent from my BlackBerry 10 smartphone.

B5

B5

B5

Original Message

From: Kan, Derek (OST) <derek.kan@dot.gov>
Sent: Saturday, October 21, 2017 11:31
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [REDACTED]

B5

[REDACTED]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing) [<mailto:FelsingET@state.gov>]
Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete [REDACTED] We can then proceed with planning for this schedule. Our ethics office may follow up with their DOT colleagues if they have any questions. Thanks again.

B5

Evan

Sent from my BlackBerry 10 smartphone.

From: Kan, Derek (OST)
Sent: Saturday, October 21, 2017 04:46
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

Evan,

Thanks for the note and thanks to Mission China for all of their hard work. [REDACTED]

B5

[REDACTED]
and we are happy to help you to make this happen.

Regarding the participants for high-level meetings, [REDACTED]

B5

[REDACTED]
B6

[redacted]
In terms of the topics, [redacted]
[redacted]

B5
B6

B5

[redacted] Thanks for the heads up.

B5

Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.
Derek

From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]
Sent: Friday, October 20, 2017 1:56 AM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Secretary Chao's Visit to China

DOT Colleagues:

Mission China looks forward to Secretary Chao's upcoming visit to [redacted] from October 29- November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

B5

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing | 冯以宏
Minister Counselor for Economic Affairs | 经济参赞
U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
E-mail | 电邮 : FelsingET@state.gov <<mailto:FelsingET@state.gov>>

SBU

This email is UNCLASSIFIED.

Official

UNCLASSIFIED

From: Huitema, David P <HuitemaDP@state.gov>
Sent: Tuesday, October 24, 2017 9:41 AM
To: McManus, Katherine D <McManusKD@state.gov>
Subject: RE: Secretary Chao's Visit to China

RELEASE IN PART
B5,B6

Will do.

Official - SBU
UNCLASSIFIED

From: McManus, Katherine D
Sent: Tuesday, October 24, 2017 9:34 AM
To: Huitema, David P
Subject: RE: Secretary Chao's Visit to China

Thanks. Kitty

B5

Official - SBU
UNCLASSIFIED

From: Huitema, David P
Sent: Tuesday, October 24, 2017 9:25 AM
To: McManus, Katherine D
Subject: FW: Secretary Chao's Visit to China

B5

Official - SBU
UNCLASSIFIED

From: Browne, Noah
Sent: Tuesday, October 24, 2017 8:41 AM
To: Huitema, David P
Subject: FW: Secretary Chao's Visit to China

And it's off again, apparently.

B5

Official - SBU
UNCLASSIFIED

From: Leiby, Thomas J (Beijing)
Sent: Tuesday, October 24, 2017 6:01 AM
To: Cook, Marc S; Felsing, Evan T (Beijing); Browne, Noah
Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou,

Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing); Leiby, Thomas J (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah:

To close the loop, we learned this morning from DOT that Secretary Chao will not be traveling to China because of unexpected new demands on her schedule.

Thank you,

Tom

Thomas J. Leiby – 雷慕华 || Economic Officer – 经济官员 || Embassy of the United States in Beijing – 美国驻华大使馆

O: +86 10 8531 3824 || F: +86 10 8531 4949 || M: +86 186 0122 2847 || E: LeibyTJ@state.gov

Official - SBU
UNCLASSIFIED

From: Cook, Marc S

Sent: Tuesday, October 24, 2017 8:24 AM

To: Felsing, Evan T (Beijing); Browne, Noah

Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: Re: Secretary Chao's Visit to China

B5

Separately, we'll forward the full notional schedule.

From: Felsing, Evan T (Beijing)

Sent: Tuesday, October 24, 2017 8:05 AM

To: Browne, Noah

Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah,

Still trying to get 100% confirmation about whether or not Secretary Chao will visit. In the meantime, to answer your questions:

[redacted]

B5

I'll ask Tom Leiby to try to track down a schedule and participant list for you immediately. Thanks again.

Evan

This email is UNCLASSIFIED.

From: Felsing, Evan T (Beijing)

Sent: Tuesday, October 24, 2017 7:43 AM

To: Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Cc: Beijing Econ Unit Chiefs

Subject: Fw: Secretary Chao's Visit to China

Tom,

Let's talk first thing this AM.

Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah <BrowneN@state.gov>

Sent: Tuesday, October 24, 2017 04:54

To: Felsing, Evan T (Beijing)

Cc: Leiby, Thomas J (Beijing); Huitema, David P

Subject: RE: Secretary Chao's Visit to China

Hi Ethan,

We just heard that Secretary Chao's trip may be back on after all. Is that true? In any event, we did have a conversation with the Designated Agency Ethics Official (DAEO) at the DOT, and this is what we know:

[redacted]

B5

[Redacted]

B5

Would it be possible to get a full breakdown of the proposed agenda and the participants in each event/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the same set of facts.

Best
Noah

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)
Sent: Monday, October 23, 2017 9:00 AM
To: Browne, Noah
Cc: Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah,

I understand that Secretary Chao has now cancelled her trip.

Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Monday, October 23, 2017 20:47
To: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao's Visit to China

I hear you. [Redacted]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah,

[Redacted]

B5

Evan

Sent from my BlackBerry 10 smartphone.
Original Message

From: Kan, Derek (OST) <derek.kan@dot.gov>
Sent: Saturday, October 21, 2017 11:31
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [redacted]

B5

As you may know, [redacted]

-----Original Message-----

From: Felsing, Evan T (Beijing) [<mailto:FelsingET@state.gov>]
Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete [redacted]. We can then proceed with planning for this schedule. Our ethics office [redacted] may follow up with their DOT colleagues if they have any questions. Thanks again.

B5

Evan

Sent from my BlackBerry 10 smartphone.

From: Kan, Derek (OST)
Sent: Saturday, October 21, 2017 04:46
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

Evan,

Thanks for the note and thanks to Mission China for all of their hard work. [redacted]

B5

[redacted] and we are happy to help you to make this happen.

Regarding the participants for high-level meetings, [redacted]

B5

B6

In terms of the topics. [redacted]

B5

[redacted]

B5

[redacted] Thanks for the heads up.

Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.
Derek

From: Felsing, Evan T (Beijing) [\[mailto:FelsingET@state.gov\]](mailto:FelsingET@state.gov)

Sent: Friday, October 20, 2017 1:56 AM

To: Kan, Derek (OST) derek.kan@dot.gov; Inman, Todd (OST) todd.inman@dot.gov; Furman, Jon (OST) jon.furman@dot.gov; McInerney, Marianne (OST) marianne.mcinerney@dot.gov; Traini, Joseph (OST) Joseph.Traini@dot.gov; Abraham, Julie (OST) Julie.Abraham@dot.gov; Taylor-Hoes, Ronale Ronale.Taylor-Hoes@dot.gov

Cc: Leiby, Thomas J (Beijing) LeibyTJ@state.gov; Olszowka, Adam R (Beijing) OlszowkaAR@state.gov; Cook, Marc S CookMS2@state.gov; Beijing Econ Unit Chiefs BeijingEconUnitChiefs@state.gov; Fritz, Jonathan D (Beijing) FritzJD@state.gov; Leou, Nancy W (Shanghai) LeouNW@state.gov; Whitaker, Frank (Beijing) WhitakerFJ@state.gov; Kao, Pauline A (Shanghai) KaoPA@state.gov; Davis, Pierce M (Beijing) DavisPM@state.gov; Smith, M Hanscom (Hanscom) SmithMH@state.gov; Voyles, Halima K VoylesHK@state.gov; Brust, Frederick E BrustFE@state.gov; Klein, Christopher C KleinCC@state.gov; Browne, Noah BrowneN@state.gov

Subject: Secretary Chao's Visit to China

DOT Colleagues:

Mission China looks forward to Secretary Chao's upcoming visit to [redacted] from October 29- November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

B5

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing | 冯以宏
Minister Counselor for Economic Affairs | 经济参赞
U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
E-mail | 电邮 : FelsingET@state.gov <<mailto:FelsingET@state.gov>>

SBU

This email is UNCLASSIFIED.

Official
UNCLASSIFIED



United States Department of State

Washington, D.C. 20520

May 21, 2019

Case No.: F-2017-17100

Segments: LIT-0001 & L/EFD-0001

Eric Lipton
The New York Times
[REDACTED]
Washington, DC 20006

Dear Mr. Lipton:

I refer you to our letter dated May 1, 2019, regarding the release of material under the Freedom of Information Act (the “FOIA”), 5 U.S.C. § 552. Upon further review of three records, it was determined that additional information could be segregated and released. The updated versions of these documents are enclosed. An enclosure explains the FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable exemptions are marked on each document. All non-exempt material that is reasonably segregable from the exempt material has been released.

If you have any questions, your attorney may contact Peter Aronoff at [REDACTED]@[DOD]ov [REDACTED]7. Please refer to the case number, F-2017-17100, and the civil action number, 19-cv-00645, in all correspondence regarding this case.

Sincerely,

Susan C. Weetman

Chief, Programs and Policies Division
Office of Information Programs and Services

Enclosures: As stated

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

(b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:

- 1.4(a) Military plans, systems, or operations
- 1.4(b) Foreign government information
- 1.4(c) Intelligence activities, sources or methods, or cryptology
- 1.4(d) Foreign relations or foreign activities of the US; including confidential sources
- 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
- 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
- 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
- 1.4(h) Weapons of mass destruction

(b)(2) Related solely to the internal personnel rules and practices of an agency

(b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:

ARMSEXP	Arms Export Control Act, 50a USC 2411(c)
CIA PERS/ORG	Central Intelligence Agency Act of 1949, 50 USC 403(g)
EXPORT CONTROL	Export Administration Act of 1979, 50 USC App. Sec. 2411(c)
FS ACT	Foreign Service Act of 1980, 22 USC 4004
INA	Immigration and Nationality Act, 8 USC 1202(f), Sec. 222(f)
IRAN	Iran Claims Settlement Act, Public Law 99-99, Sec. 505

(b)(4) Trade secrets and confidential commercial or financial information

(b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product

(b)(6) Personal privacy information

(b)(7) Law enforcement information whose disclosure would:

- (A) interfere with enforcement proceedings
- (B) deprive a person of a fair trial
- (C) constitute an unwarranted invasion of personal privacy
- (D) disclose confidential sources
- (E) disclose investigation techniques
- (F) endanger life or physical safety of an individual

(b)(8) Prepared by or for a government agency regulating or supervising financial institutions

(b)(9) Geological and geophysical information and data, including maps, concerning wells

Other Grounds for Withholding

NR Material not responsive to a FOIA request excised with the agreement of the requester

From: Browne, Noah </o=SBUState/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>
Sent: Monday, October 23, 2017 8:46 AM
To: Huitema, David P <HuitemaDP@state.gov>
Subject: FW: Secretary Chao's Visit to China

RELEASE IN PART
B5,B6

David, [redacted]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah, [redacted]

B5

Evan

Sent from my BlackBerry 10 smartphone.

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To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [redacted]

B5

As you may know, [redacted]

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Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <joseph.traini@dot.gov>; Abraham, Julie (OST) <julie.abraham@dot.gov>; Taylor-Hoes, Ronale <ronale.taylor-hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete, [redacted]

B5

[redacted] We can then proceed with planning for this schedule. Our ethics office may follow up with their DOT colleagues if they have any questions. Thanks again.

Evan

Sent from my BlackBerry 10 smartphone.

From: Kan, Derek (OST)

Sent: Saturday, October 21, 2017 04:46

To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale

Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah

Subject: RE: Secretary Chao's Visit to China

Evan,

Thanks for the note and thanks to Mission China for all of their hard work. As AMB Branstad discussed with the Secretary a few weeks back, this is a unique opportunity to help U. S. Embassy access some of the highest levels of leadership in China and we are happy to help you to make this happen.

Regarding the participants for high-level meetings, we will have SEC Chao, AMB, [REDACTED] me, and Admiral Mark Buzby (MARAD Administrator). These meetings will also be staffed by Julie Abraham from X. [REDACTED]

B5

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B6

In terms of the topics, [REDACTED]

B5

Further, it would be great to get a sense if Mission China has any issues they wish for us to put on the agenda. We are happy to have the Secretary raise any matters the AMB/US Embassy wish. [REDACTED]

B5

B5

Regarding due diligence and ethics officials, we've been conferring with our ethics officer and have a couple of loose ends to wrap up. Thanks for the heads up.

Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.

Derek

From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]

Sent: Friday, October 20, 2017 1:56 AM

To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>

Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>

Subject: Secretary Chao's Visit to China

DOT Colleagues:

Mission China looks forward to Secretary Chao's upcoming visit to [REDACTED] from October 29- November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

B5

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all

participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing |
Minister Counselor for Economic Affairs |
U.S. Embassy Beijing, Economic Section |
E-mail | 电邮 : FelsingET@state.gov<<mailto:FelsingET@state.gov>>

SBU

This email is UNCLASSIFIED.

Official
UNCLASSIFIED

From: Browne, Noah </o=SBUState/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>
Sent: Monday, October 23, 2017 6:06 PM
To: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Felsing, Evan T (Beijing) <FelsingET@state.gov>
Cc: Huitema, David P <HuitemaDP@state.gov>
Subject: RE: Secretary Chao's Visit to China

**RELEASE IN PART
B5,B6**

Thanks. And I meant Evan, not Ethan!

**Official
UNCLASSIFIED**

From: Leiby, Thomas J (Beijing)
Sent: Monday, October 23, 2017 6:05 PM
To: Browne, Noah; Felsing, Evan T (Beijing)
Cc: Huitema, David P; Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah:

Thank you for your email. I've been trying to get a clear response from DOT all evening to no avail. I'll be in touch as soon as I get more information, but we can share with you a proposed agenda that we had been working from.

Tom

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Tuesday, October 24, 2017 4:55 AM
To: Felsing, Evan T (Beijing)
Cc: Leiby, Thomas J (Beijing); Huitema, David P
Subject: RE: Secretary Chao's Visit to China

Hi Ethan,

We just heard that Secretary Chao's trip may be back on after all. Is that true? In any event, we did have a conversation with the Designated Agency Ethics Official (DAEO) at the DOT, and this is what we know:



B5

•

Would it be possible to get a full breakdown of the proposed agenda and the participants in each event/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the same set of facts.

Best
Noah

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)
Sent: Monday, October 23, 2017 9:00 AM
To: Browne, Noah
Cc: Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah,

I understand that Secretary Chao has now cancelled her trip.

Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Monday, October 23, 2017 20:47
To: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao's Visit to China

I hear you. [redacted]

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah,

[redacted]
Evan

Sent from my BlackBerry 10 smartphone.

B5

B5

B5

Original Message

From: Kan, Derek (OST) <derek.kan@dot.gov>
Sent: Saturday, October 21, 2017 11:31
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale
Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [redacted]

B5

[redacted]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing) [<mailto:FelsingET@state.gov>]
Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete [redacted] We can then proceed with planning for this schedule. Our ethics office may follow up with their DOT colleagues if they have any questions. Thanks again.

B5

Evan

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Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China

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[REDACTED]
In terms of the topics, [REDACTED]

B5
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B5

Further, it would be great to get a sense if Mission China has any issues they wish for us to put on the agenda. We are happy to have the Secretary raise any matters the AMB/US Embassy wish. [REDACTED]

B5
B5

Regarding due diligence and ethics officials, we've been conferring with our ethics officer and have a couple of loose ends to wrap up. Thanks for the heads up.

Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.
Derek

From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]
Sent: Friday, October 20, 2017 1:56 AM
To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) <LeibyTJ@state.gov>; Olszowka, Adam R (Beijing) <OlszowkaAR@state.gov>; Cook, Marc S <CookMS2@state.gov>; Beijing Econ Unit Chiefs <BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) <FritzJD@state.gov>; Leou, Nancy W (Shanghai) <LeouNW@state.gov>; Whitaker, Frank (Beijing) <WhitakerFJ@state.gov>; Kao, Pauline A (Shanghai) <KaoPA@state.gov>; Davis, Pierce M (Beijing) <DavisPM@state.gov>; Smith, M Hanscom (Hanscom) <SmithMH@state.gov>; Voyles, Halima K <VoylesHK@state.gov>; Brust, Frederick E <BrustFE@state.gov>; Klein, Christopher C <KleinCC@state.gov>; Browne, Noah <BrowneN@state.gov>
Subject: Secretary Chao's Visit to China

DOT Colleagues:

Mission China looks forward to Secretary Chao's upcoming visit to [REDACTED] from October 29- November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

B5

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing | 冯以宏
Minister Counselor for Economic Affairs | 经济参赞
U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
E-mail | 电邮 : FelsingET@state.gov <<mailto:FelsingET@state.gov>>

SBU

This email is UNCLASSIFIED.

Official

UNCLASSIFIED

From: Huitema, David P <HuitemaDP@state.gov>
Sent: Tuesday, October 24, 2017 9:41 AM
To: McManus, Katherine D <McManusKD@state.gov>
Subject: RE: Secretary Chao's Visit to China

RELEASE IN PART
B5,B6

Will do.

Official - SBU
UNCLASSIFIED

From: McManus, Katherine D
Sent: Tuesday, October 24, 2017 9:34 AM
To: Huitema, David P
Subject: RE: Secretary Chao's Visit to China

Thanks. Kitty

B5

Official - SBU
UNCLASSIFIED

From: Huitema, David P
Sent: Tuesday, October 24, 2017 9:25 AM
To: McManus, Katherine D
Subject: FW: Secretary Chao's Visit to China

B5

Official - SBU
UNCLASSIFIED

From: Browne, Noah
Sent: Tuesday, October 24, 2017 8:41 AM
To: Huitema, David P
Subject: FW: Secretary Chao's Visit to China

And it's off again, apparently.

B5

Official - SBU
UNCLASSIFIED

From: Leiby, Thomas J (Beijing)
Sent: Tuesday, October 24, 2017 6:01 AM
To: Cook, Marc S; Felsing, Evan T (Beijing); Browne, Noah
Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou,

Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing); Leiby, Thomas J (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah:

To close the loop, we learned this morning from DOT that Secretary Chao will not be traveling to China because of unexpected new demands on her schedule.

Thank you,

Tom

Thomas J. Leiby – 雷慕华 || Economic Officer – 经济官员 || Embassy of the United States in Beijing – 美国驻华大使馆

O: +86 10 8531 3824 || F: +86 10 8531 4949 || M: +86 186 0122 2847 || E: LeibyTJ@state.gov

Official - SBU
UNCLASSIFIED

From: Cook, Marc S

Sent: Tuesday, October 24, 2017 8:24 AM

To: Felsing, Evan T (Beijing); Browne, Noah

Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: Re: Secretary Chao's Visit to China

B5

Separately, we'll forward the full notional schedule.

From: Felsing, Evan T (Beijing)

Sent: Tuesday, October 24, 2017 8:05 AM

To: Browne, Noah

Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah,

Still trying to get 100% confirmation about whether or not Secretary Chao will visit. In the meantime, to answer your questions:

[Redacted]

B5

I'll ask Tom Leiby to try to track down a schedule and participant list for you immediately. Thanks again.

Evan

This email is UNCLASSIFIED.

From: Felsing, Evan T (Beijing)

Sent: Tuesday, October 24, 2017 7:43 AM

To: Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Cc: Beijing Econ Unit Chiefs

Subject: Fw: Secretary Chao's Visit to China

Tom,

Let's talk first thing this AM.

Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah <BrowneN@state.gov>

Sent: Tuesday, October 24, 2017 04:54

To: Felsing, Evan T (Beijing)

Cc: Leiby, Thomas J (Beijing); Huitema, David P

Subject: RE: Secretary Chao's Visit to China

Hi Ethan,

We just heard that Secretary Chao's trip may be back on after all. Is that true? In any event, we did have a conversation with the Designated Agency Ethics Official (DAEO) at the DOT, and this is what we know:

[Redacted]

B5

[Redacted]

B5

Would it be possible to get a full breakdown of the proposed agenda and the participants in each event/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the same set of facts.

Best
Noah

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)
Sent: Monday, October 23, 2017 9:00 AM
To: Browne, Noah
Cc: Leiby, Thomas J (Beijing)
Subject: Re: Secretary Chao's Visit to China

Noah,

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Evan

Sent from my BlackBerry 10 smartphone.

From: Browne, Noah
Sent: Monday, October 23, 2017 20:47
To: Felsing, Evan T (Beijing)
Subject: RE: Secretary Chao's Visit to China

I hear you. [Redacted]

B5

-----Original Message-----

From: Felsing, Evan T (Beijing)
Sent: Saturday, October 21, 2017 3:53 AM
To: Browne, Noah
Subject: Fw: Secretary Chao's Visit to China

Noah,

[Redacted]

B5

Evan

Sent from my BlackBerry 10 smartphone.
Original Message

From: Kan, Derek (OST) <derek.kan@dot.gov>

Sent: Saturday, October 21, 2017 11:31

To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale

Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah

Subject: RE: Secretary Chao's Visit to China

We've been working with Ethics throughout this planning process, [redacted]

B5

As you may know, [redacted]

-----Original Message-----

From: Felsing, Evan T (Beijing) [<mailto:FelsingET@state.gov>]

Sent: Friday, October 20, 2017 7:15 PM

To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) <todd.inman@dot.gov>; Furman, Jon (OST) <jon.furman@dot.gov>; McInerney, Marianne (OST) <marianne.mcinerney@dot.gov>; Traini, Joseph (OST) <Joseph.Traini@dot.gov>; Abraham, Julie (OST) <Julie.Abraham@dot.gov>; Taylor-Hoes, Ronale <Ronale.Taylor-Hoes@dot.gov>

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Subject: Re: Secretary Chao's Visit to China

Derek,

Great, please let us know as soon as the ethics office review is complete, [redacted]

B5

[redacted] We can then proceed with planning for this schedule. Our ethics office may follow up with their DOT colleagues if they have any questions. Thanks again.

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Regarding the participants for high-level meetings, we will have SEC Chao, AMB [redacted]

B5

[redacted] me, and Admiral Mark Buzby (MARAD Administrator). These

B5

meetings will also be staffed by Julie Abraham from X, [redacted]

B6

B6

In terms of the topics. [redacted]

B5

Further, it would be great to get a sense if Mission China has any issues they wish for us to put on the agenda. We are happy to have the Secretary raise any matters the AMB/US Embassy wish. [redacted]

B5
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Derek

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Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing | 冯以宏
 Minister Counselor for Economic Affairs | 经济参赞
 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处
 E-mail | 电邮 : FelsingET@state.gov <<mailto:FelsingET@state.gov>>

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Official
UNCLASSIFIED

